Proposed Rule Reviews
State Securities Board

Title 7, Part 7

The State Securities Board (Agency), beginning December 2019, will review and consider for readoption, revision, or repeal Chapter 133, Forms, in accordance with Texas Government Code, §2001.039, which requires rule review every four years. The rules to be reviewed are located in Title 7, Part 7, of the Texas Administrative Code. The text of the rule sections will not be published. The text of these rules may be found in the Texas Administrative Code, Title 7, Part 7 or through the Board’s website at www.ssb.texas.gov/texas-securities-act-board-rules.

The Agency has conducted a preliminary review of this chapter and determined the reasons for initially adopting the chapter continue to exist.

The Agency's Board will consider, among other things, whether the reasons for adoption of these rules continue to exist and whether any changes are needed. Changes to the rules proposed by the Agency’s Board after reviewing the rules and considering the comments received in response to this notice will appear in the "Proposed Rules" section of a subsequent issue of the Texas Register and will be adopted in accordance with the requirements of the Administrative Procedure Act, Texas Government Code Annotated, Chapter 2001; thus this notice to review has no effect on the chapter as it currently exists. Readopted rules will be noted in a subsequent issue of the Texas Register "Review of Agency Rules" section without publication of the text.

Comments or suggestions on the proposal must be in writing and will be accepted for 30 days following publication of this notice in the Texas Register. Written comments should be submitted to Marlene K. Sparkman, General Counsel, State Securities Board, P.O. Box 13167, Austin, Texas 78711-3167 or faxed to (512) 305-8336. Comments may also be submitted electronically to proposal@ssb.texas.gov. In order to be considered by the Board at adoption, comments must be received no later than 30 days following publication. Comments received will be reviewed and discussed in a future Board meeting.

Issued in Austin, Texas on November 20, 2019.

TRD-201904390
Travis J. Iles
Securities Commissioner
State Securities Board
Filed: November 20, 2019

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